

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/295,957	04/21/99	2784	\$0.00	06618/303001	3	6	1

JOHN LAND
FISH & RICHARDSON
4225 EXECUTIVE SQUARE
SUITE 1400
LA JOLLA CA 92037

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

PASADENA, CALIFORNIA K. MANI CHANDY, *N/A*; JOSEPH KINIRY, *N/A*; ADAM RIFKIN, *N/A*; DANIEL ZIMMERMAN, *N/A*; WESLEY TANAKA, *N/A*; LUKE WEISMAN, *N/A*.
PASADENA, CALIFORNIA
CAMBRIDGE, MASSACHUSETTS
PASADENA, CALIFORNIA
AIEA, HAWAII

PRIORITY INFO. - U.S. SERIAL NO. 60/082,475, FILED 4/21/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/12/99

TITLE

INFOSPHERES DISTRIBUTED OBJECT SYSTEM

SMALL ENTITY

PRELIMINARY CLASS: 712

RECEIVED

MAY 17 1999

FISH & RICHARDSON

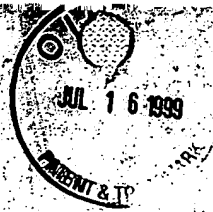
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Due Date:
Deadline:
Initials:

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Action Code: <i>CORRECT OFN</i>
Base Date: <i>5/17/99</i>
Due Date: <i>6/17/99</i>
Deadline:
Initials: <i>GC</i>
Record:

DATA ENTRY BY: MEREDITH, WANDA

TEAM: 03 DATE: 05/12/99



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/295,957 04/21/99 CHANDY K 06618/303001

0232/0513

JOHN LAND
FISH & RICHARDSON
4225 EXECUTIVE SQUARE
SUITE 1400
LA JOLLA CA 92037

NOT ASSIGNED

2784

DATE MAILED:

05/13/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 870.00

- ☒ 1. The statutory basic filing fee is:
☒ missing
☐ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27)

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.
\$ _____ for _____ independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

W. Meredith
Customer Service Center
Initial Patent Examination Division (703) 308-1202

MISSING PARTS
5/13/99
7/13/99
8/11/99
E.O.

07/20/1999 SLUANG 00000076 0995957 380.00 65.00
01 FC:201
02 FC:205
U.S. GPO: 1998-448-824



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#3

PATENT
ATTORNEY DOCKET NO. 06618/303001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Chandy et al. Art Unit: 2784
Serial No.: 09/295,957 Examiner:
Filed : 4/21/99
Title : INFOSPHERES DISTRIBUTED OBJECT SYSTEM

Assistant Commissioner for Patents
Washington, DC 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

AND REQUEST TO CORRECT FILING RECEIPT

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(b) mailed May 13, 1999 (a copy of which is enclosed), Applicants as a small entity submits herewith the following:

Payment of the basic filing fee of \$380.00.

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63.

A Verified Statement (Declaration) Claiming Small Entity Status in compliance with 37 CFR 1.9(f) and 1.27(d).

Payment of the surcharge of \$65.00 for late filing of the basic filing fee/declaration.

A check in the total amount of \$445.00 is attached.

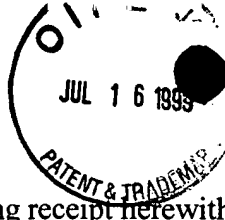
Date of Deposit

7/13/99

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

NANCY GRANT

Nancy Grant



Applicants provide a marked-up copy of the official filing receipt herewith. The priority information is not complete. The filing receipt should reflect that the instant application claims priority from U.S. Serial No. 60/082,475, filed April 21, 1998. The correct priority information is set forth in the Combined Declaration and Power of Attorney attached hereto.

Applicants also request that the filing receipt be corrected to list the inventors' city and state information as follows: **K. MANI CHANDY, PASADENA, CALIFORNIA; JOSEPH KINIRY, PASADENA, CALIFORNIA; ADAM RIFKIN, PASADENA, CALIFORNIA; DANIEL ZIMMERMAN; PASADENA, CALIFORNIA; WESLEY TANAKA, AIEA, HAWAII; LUKE WEISMAN, CAMBRIDGE, MASSACHUSETTS**

It is understood that this perfects the application and no additional papers or filing fees are required. If there are any other charges, or any credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 7-17-99

John Land
Reg. No. 29,554

Fish & Richardson P.C.
4225 Executive Square, Suite 1400
La Jolla, CA 92037

Telephone: 619/678-5070
Facsimile: 619/678-5099
96899.LJ1